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# Structure prediction, electronic, and mechanical properties of alkali metal $MB_{12}$ ( $M = \text{Be, Mg, Ca, Sr}$ ) from first principles\*

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Using the particle swarm optimization algorithm on structural search methods, we focus our crystal structures search on boron-rich alkali metal compounds of  $MB_{12}$  ( $M = \text{Be, Mg, Ca, Sr}$ ) with simulation cell sizes of 1–2 formula units (f.u.) at 0 GPa. The structure, electronic, and mechanical properties of  $MB_{12}$  are obtained from the density functional theory using the plane-wave pseudopotential method within the generalized gradient approximations. The formation enthalpies of  $MB_{12}$  regarding to solid metal  $M$  and solid alpha-boron suggested the predicted structures can be synthesized except for  $\text{BeB}_{12}$ . The calculated band structures show  $MB_{12}$  ( $M = \text{Be, Mg, Ca, Sr}$ ) are all indirect semiconductors. All the calculated elastic constants of  $MB_{12}$  satisfy the the mechanical stable conditions. The mechanical parameters (*i.e.*, bulk modulus, shear modulus, and Young's modulus) are derived using the Voigt–Reuss–Hill method. The  $G/B$  ratios indicated that the  $MB_{12}$  should exhibit brittle behavior. In addition, the hardness, Debye temperature, universal anisotropic index, and the percentage of anisotropy in compression and shear are also discussed in detail. We hope our results can inspire further experimental study on these boron-rich alkali-metal compounds.

**Keywords:** first-principles calculations, structure searching, mechanical properties, boron-rich alkali-metal compounds

**PACS:** 71.15.Mb, 81.40.Jj, 62.20.Dy

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## 1. Introduction

Boron-rich compounds usually exhibit special structure characteristics due to the unique bonding nature of boron. As we all know, boron prefers to bond with five nearest neighbors since it only has three valence electrons, which leads to the existence of the versatile polyhedral frameworks in both elemental B and boron-rich compounds. For example, various boron polyhedral frameworks such as  $B_4$ ,  $B_6$ , and  $B_{12}$  clusters were discovered in the boron-rich metal compounds.<sup>[1–3]</sup> In these compounds, strong covalent bonds are formed between the three-dimensional boron–boron atoms, which can effectively enhance the resistance to plastic deformation, so most metal boron-rich compounds have a high hardness.<sup>[4–7]</sup> Electronically, the increase of boron content usually opens up a band gap and changes the metal–boron compound to a semiconductor. Among many classes of metal–boron compounds formed by boron clusters, metal dodecaborides ( $MB_{12}$ ) play important positions. Up to now, a lot of metals such as transition metals lanthanides and actinides were found to form stable dodecaboride phases.<sup>[8–10]</sup> Due to the existence of  $B_{12}$  icosahedra,  $MB_{12}$  usually possess high hardness, high thermal and electrical conductivity, chemical inertness, and superconductivity,<sup>[11–18]</sup> so they are of great importance in

fundamental science and technological applications.

Take the  $\text{ZrB}_{12}$  as example,<sup>[19]</sup> which was synthesized and is believed to can be applied in many fields such as hard coatings or anvils of large volume press. More interestingly, most  $MB_{12}$  ( $M = \text{Zr, Hf, Y, Sc, Tb, Dy, Ho, Er, Tm, Yb, Lu, U, Th, etc.}$ ) crystalize in unique cubic- $UB_{12}$  ( $Fm-3m$ ) structure. In this structure, 24 boron atoms form a cuboctahedron cage which contains a metal in its center, and many such structural units are arranged in an fcc lattice.<sup>[9]</sup> However,  $\text{ScB}_{12}$  has its own structural type, tetragonal- $\text{ScB}_{12}$  ( $I4/mmm$ ),<sup>[9]</sup> where the cages are arranged in a body centered tetragonal (BCT) lattice. Atomic radii are believed to play a determining role regarding to the different structural types of tetragonal- $\text{ScB}_{12}$  and cubic- $MB_{12}$ .<sup>[9,19,20]</sup> It was revealed that if a transition metal dodecaboride can stably exist under ambient pressure, the metal atoms radius of which must be between those of zirconium ( $r_{\text{at}} = 1.55 \text{ \AA}$ ) and yttrium ( $r_{\text{at}} = 1.80 \text{ \AA}$ ),<sup>[9,19]</sup> so that the metal atom can fit inside a boron cuboctahedral environment and forms a metal dodecaboride. We noticed that the atom radius of the group-II elements are between  $0.89 \text{ \AA}$  and  $1.98 \text{ \AA}$ , so some of the group-II elements meet the radius conditions and may form stable  $MB_{12}$ . At the same time, we found that a lot of  $MB_{12}$  can exist as a metastable state at ambient conditions.

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For example, metastable  $\text{HfB}_{12}$  and  $\text{ThB}_{12}$  at ambient conditions can be synthesized under high pressure and high temperature conditions,<sup>[20,21]</sup> so the metastable  $MB_{12}$  ( $M = \text{Be, Mg, Ca, Sr}$ ) may also be synthesized at room conditions.

In this paper, we have extensively explored the possible stable phases of  $MB_{12}$  ( $M = \text{Be, Mg, Ca, Sr}$ ) at 0 GPa using the particle swarm optimization (PSO) algorithm on crystal structural prediction. We showed the structural diversity of metal dodecaboride, and some of stable semiconducting  $MB_{12}$  with new structural type were found. The total energy, lattice parameters, phonon spectra, elastic constants, mechanical parameters, hardness, and the anisotropic factors for the new discovered  $MB_{12}$  were investigated systematically using first principles calculations.

## 2. Computational method

In order to obtain the energetically preferred structures of  $MB_{12}$  ( $M = \text{Be, Mg, Ca, Sr}$ ), we used the swarm-intelligence-based structure prediction method as implemented in the CALYPSO code,<sup>[22–24]</sup> which can effectively search the stable structure for the given compositions. In recent years, the structural prediction method based on the first principles has achieved great breakthrough in the structure prediction of superconductors, superhard materials, and ferromagnetic materials.<sup>[25–28]</sup> Total energy calculations are performed in the framework of density functional theory within the generalized gradient approximation<sup>[30]</sup> as implemented in the VASP code.<sup>[31,32]</sup> The electron–ion interaction is described by pseudopotentials built within the projector augmented wave approximation<sup>[33]</sup> with  $s^2p^0$ ,  $s^2p^0$ ,  $p^6s^2d^{0.01}$ ,  $4s^24p^65s^2$ , and  $s^2p^1$  treated as valence for Be, Mg, Ca, Sr, and B atoms, respectively. The cut-off energy for the expansion of wavefunctions into plane waves is set to 650 eV in all calculations, and appropriate Monkhorst–Pack  $k$ -meshes with grid spacing of  $2\pi \times 0.03 \text{ \AA}^{-1}$  was individually adjusted in reciprocal space with respect to the size of each computational cell. This usually gives total energies well converged within  $\sim 1 \text{ meV/atom}$ . Phonon properties were calculated with the finite displacement method as implemented in Phonopy package<sup>[34]</sup> with the supercell of  $2 \times 2 \times 2$  for  $MB_{12}$ . In calculating the phonon spectra, the energy convergence criteria were set to  $10^{-6} \text{ eV}$  for total energy and  $0.1 \text{ meV/\AA}$  for Hellmann–Feynman force.

## 3. Results and discussion

### 3.1. Structures and dynamic stabilities

We firstly performed a test for some  $MB_{12}$  ( $M = \text{Zr, Sc, Lu, U}$ ) found experimentally at 0 GPa, the known cubic- $\text{UB}_{12}$  ( $Fm-3m$ ) and tetragonal- $\text{ScB}_{12}$  ( $I4/mmm$ ) structures are predicted correctly, which verify the rationality of our predictions. Then we focus our structures search on boron-rich alkali metal

compounds of  $MB_{12}$  ( $M = \text{Be, Mg, Ca, Sr}$ ) with simulation cell sizes of 1–2 formula units (f.u.) at 0 GPa. The predicted low energy structures of  $MB_{12}$  is shown in Fig. 1, the unit-cell parameters and atomic positions of the newly found  $MB_{12}$  ( $M = \text{Be, Mg, Ca, Sr}$ ) phases are shown in Table 1. According to our simulations, the structures of  $\text{BeB}_{12}$  and  $\text{CaB}_{12}$  stabilize into a tetragonal structure with space group  $I-4$  and  $I-42m$ , respectively.  $\text{MgB}_{12}$  crystalizes into orthorhombic and  $\text{SrB}_{12}$  stabilized into cubic, with space group of  $Amm2$  and  $Pm-3$ , respectively. We noticed that all  $MB_{12}$  structures show new structural characters, which are different from the previous known structural type of  $MB_{12}$  ( $M = \text{Zr, Sc, Lu, U}$ ). In order to describe clearly the difference between the new structures and old ones, we take cubic- $\text{UB}_{12}$  ( $Fm-3m$ ) as an example. In fact, the typical  $\text{UB}_{12}$  structure can be considered to be formed in two ways, one is that, take the 24 boron atoms and a metal as a whole unit, and the boron atoms form a cubo-octahedron cage which contains a metal in its center, then many such units are arranged in cubic structure. Another is that, take 12 B atoms and metal atoms as two independent units, respectively, and the 12 boron atoms form a smaller cubo-octahedral  $\text{B}_{12}$  cluster, while the metal atoms form simple lattices. We tend to describe the newly found stable  $MB_{12}$  structures in the latter way. Because that in the predicted structures, the 24 boron atoms do not form a regular cubo-octahedral  $\text{B}_{24}$  cluster again, while cubo-octahedral  $\text{B}_{12}$  clusters can still exist. In the newly found  $MB_{12}$  ( $M = \text{Be, Mg, Ca, Sr}$ ) structures, the metals form different simple lattices, while the smaller cubo-octahedral  $\text{B}_{12}$  clusters embedded in the lattices. More interestingly, we notice that the twelve boron atoms in  $\text{B}_{12}$  cluster are not equivalent and the B–B bonds in the  $\text{B}_{12}$  cluster are not equal, so the  $\text{B}_{12}$  cluster in the  $MB_{12}$  can be regarded as a distorted  $\text{B}_{12}$  cluster. We believe that the special structural characterizes found in  $MB_{12}$  are attributed to the bond nature of group-II elements, as we have known, the group-II elements only have two valence electrons and do not preferred to bond with so many B atoms, then a regular cubo-octahedral  $\text{B}_{24}$  cluster does not appear in the  $MB_{12}$  structures.

The structural stability is another very important issue, we can estimate the thermodynamics stability of  $MB_{12}$  ( $M = \text{Be, Mg, Ca, and Sr}$ ) according to the formation enthalpy simply, *i.e.*, the energy needed to form  $MB_{12}$  compounds in those structures. If the formation enthalpy of the  $MB_{12}$  is negative, the structures are considered to be energetically favorable and can be prepared by experiment. The formation enthalpies for  $MB_{12}$  are calculated by the following equation:

$$\Delta H = E_{\text{Total}}(MB_{12}) - E_{\text{Total}}(M) - E_{\text{Total}}(\text{B}_{12}), \quad (1)$$

where  $E_{\text{Total}}(MB_{12})$ ,  $E_{\text{Total}}(M)$ , and  $E_{\text{Total}}(\text{B}_{12})$  are the calculated total energies of  $MB_{12}$ , solid M and solid alpha-boron.

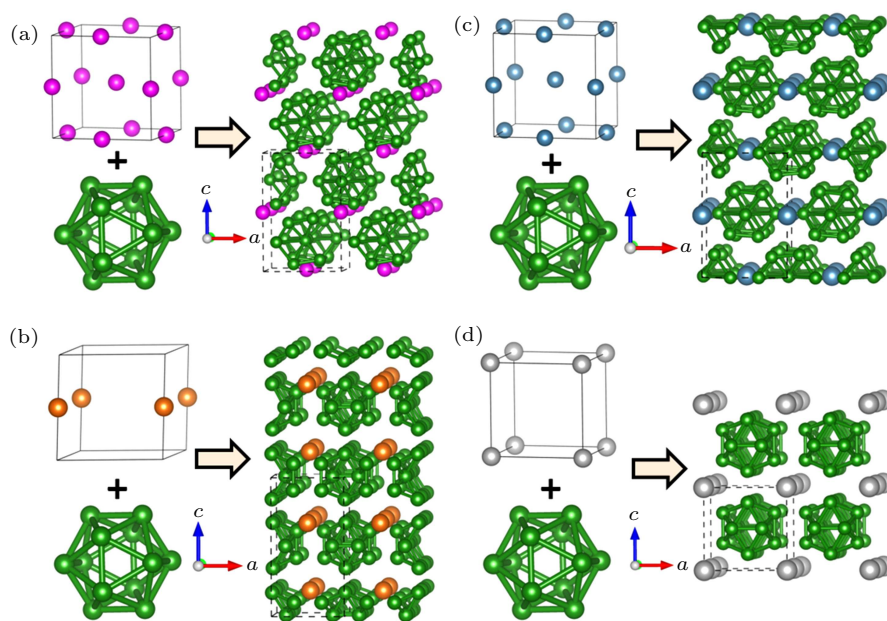


Fig. 1. The crystal structures of (a) BeB<sub>12</sub>, (b) MgB<sub>12</sub>, (c) CaB<sub>12</sub>, and (d) SrB<sub>12</sub>.

Table 1. The unit-cell parameters and atomic positions of the newly found MB<sub>12</sub> ( $M = \text{Be, Mg, Ca, Sr}$ ) phases at 0 GPa.

	Space group	Lattice parameters (Å)	Wyckoff positions				
				$x$	$y$	$z$	
BeB <sub>12</sub>	$I-4$	$a = b = c = 5.298$ $\alpha = \beta = 122.18$ $\gamma = 86.26$	Be	2b	0.0000	0.0000	0.5000
			B1	8g	-0.3318	0.5043	-0.2522
			B2	8g	-0.1960	0.7038	-0.0938
			B3	8g	-0.6265	0.1262	0.0591
			Mg	2b	0.5000	0.0000	-0.3256
MgB <sub>12</sub>	$Amm2$	$a = 4.7882$ $b = 4.7778$ $c = 9.1120$ $\alpha = \beta = \gamma = 90.0$	B1	8f	0.1796	0.1917	-0.4963
			B2	4c	0.3056	0.0000	-0.0905
			B3	4c	0.6895	0.0000	-0.8986
			B4	4d	0.0000	-0.3212	-0.3324
			B5	4d	0.0000	0.3188	-0.6593
CaB <sub>12</sub>	$I-42m$	$a = b = c = 5.298$ $\alpha = \beta = 121.12$ $\gamma = 88.07$	Ca	2b	0.0000	0.0000	0.5000
			B1	8f	0.0000	0.3334	0.0000
			B2	16j	-0.3090	0.3089	0.6605
			B3	8i	-0.1378	0.1378	0.8190
SrB <sub>12</sub>	$Pm-3$	$a = b = c = 4.779$ $\alpha = \beta = \gamma = 90.0$	Sr	1a	0.0000	0.0000	0.1664
			B	12k	0.3176	0.1903	0.5000

Table 2. Formation enthalpies of four newly found MB<sub>12</sub> ( $M = \text{Be, Mg, Ca, Sr}$ ) phases at 0 GPa.

	MB <sub>12</sub> (eV)	Alpha-B (eV)	$M$ (eV)	$\Delta H$ (eV)
BeB <sub>12</sub>	-83.5886	-80.4638	-3.7647	0.0492
MgB <sub>12</sub>	-82.8011	-80.4638	-1.5050	-0.0639
CaB <sub>12</sub>	-84.0873	-80.4638	-1.9289	-0.1303
SrB <sub>12</sub>	-83.6457	-80.4638	-1.9261	-0.0966

As can be seen from Table 2, the calculated formation enthalpies according to the above formula are 0.05, -0.06, -0.13, and -0.10 eV per unit cell for MB<sub>12</sub> ( $M = \text{Be, Mg, Ca, and Sr}$ ), respectively. It can be found that except for BeB<sub>12</sub>, all the predicted compounds can be synthesized via solid M and solid alpha-boron in theory. The formation enthalpy of BeB<sub>12</sub> is positive, which suggest that it may exist as metastable phase. Interestingly, according to the calculations of formation en-

thalpies, we noticed that too small radii of M atom is unfavorable to form more stable MB<sub>12</sub>, and CaB<sub>12</sub> is considerable to be the most stable structure.

We further to estimate the structural stability according to the phonon dispersion curves, which yield to crucial information about the dynamical properties of the materials. We calculated the phonon dispersion curves and phonon density of states (PHDOS) of the four predicted structures of MB<sub>12</sub> ( $M = \text{Be, Mg, Ca, Sr}$ ) (see Fig. 2 in details). The absence of imaginary modes in the entire Brillouin zone confirms their dynamical stability. As illustrated in Fig. 2(a), the motion of the B atoms mainly dominated the vibrational states in the low-frequency regimes. However, for MB<sub>12</sub> ( $M = \text{Mg, Ca, Sr}$ ), due to the lighter mass of B atom, the low-frequency metal atoms (Mg, Ca, and Sr) plays a significant role.

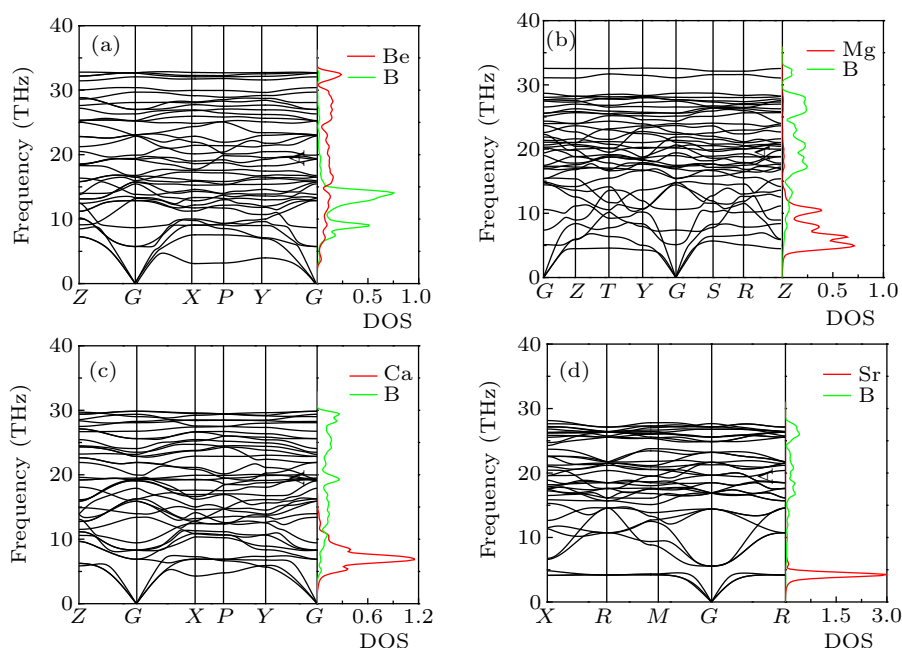


Fig. 2. The phonon dispersion and phonon density of states for (a) BeB<sub>12</sub>, (b) MgB<sub>12</sub>, (c) CaB<sub>12</sub>, and (d) SrB<sub>12</sub>.

### 3.2. Electronic properties

To obtain deeper insight into the electronic structures and chemical bonding of these predicted compounds, we calculate the band structures and projected density of states (PDOS) as shown in Fig. 3. We found that all the stable phases of four predicted MB<sub>12</sub> ( $M = \text{Be, Mg, Ca, Sr}$ ) are indirect semiconductors with band gaps of 0.82, 1.20, 0.03, and 0.92 eV for BeB<sub>12</sub>, MgB<sub>12</sub>, CaB<sub>12</sub>, and SrB<sub>12</sub>, respectively. To gain deep insight into the electronic structures, we analyzed its total density of states and orbital-projected atomic density of states (see Fig. 3). The valence band maximum (VBM) and the conduction band minimum (CBM) are mainly contributed by the

bonding and anti-bonding states of B p orbitals.

We also calculated the electron localization function (ELF) to analyze the bonding features of the structures of MB<sub>12</sub> ( $M = \text{Be, Mg, Ca, Sr}$ ). Generally, the large ELF values ( $> 0.5$ ) correspond to the lone electron pairs, core electrons, or covalent bonds, where the ionic bonds are represented by small ELF values ( $< 0.5$ ). An ELF value of 0.5 corresponds with the metallic bond. As shown in Fig. 4, B–B is a covalent bond, which is in agreement with the electronic structures analysis. B–M ( $M = \text{Be, Mg, Ca, Sr}$ ) are the ionic bonds that the electrons are transferred from metal to B atoms, which is further supported by the Bader charge analysis in Table 3.

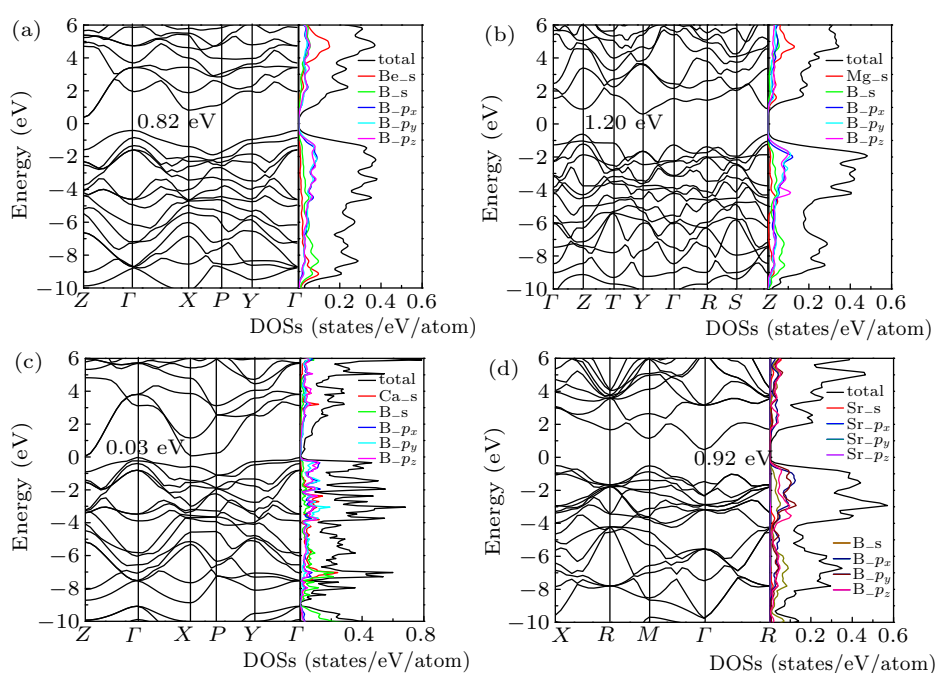
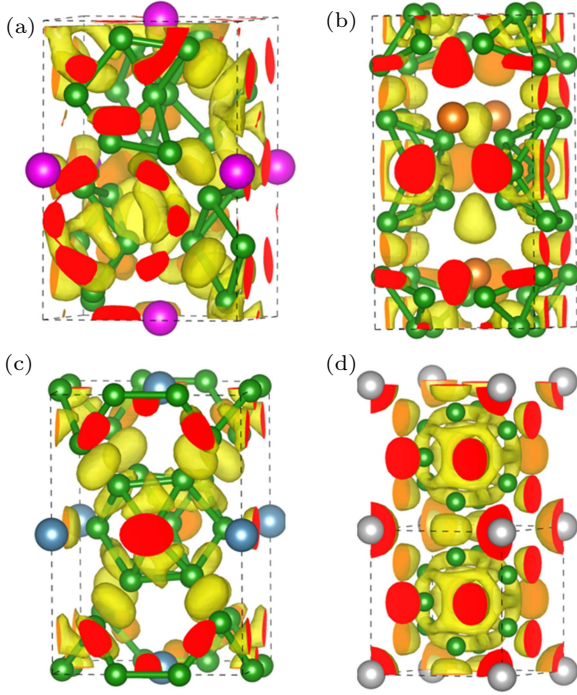


Fig. 3. The band structures and projected density of states for (a) BeB<sub>12</sub>, (b) MgB<sub>12</sub>, (c) CaB<sub>12</sub>, and (d) SrB<sub>12</sub>.



**Fig. 4.** The electron localization function (ELF = 0.78) of  $MB_{12}$  for (a)  $BeB_{12}$ , (b)  $MgB_{12}$ , (c)  $CaB_{12}$ , and (d)  $SrB_{12}$ .

**Table 4.** Bader atomic charge of the predicted structures of  $MB_{12}$  ( $M = Be, Mg, Ca, Sr$ ).

Atoms	Charge	Atoms	Charge	Atoms	Charge	Atoms	Charge
Be	0.33	Mg	0.40	Ca	0.72	Sr	8.56
B <sub>1</sub>	3.04	B <sub>1</sub>	3.16	B <sub>1</sub>	3.09	B <sub>1</sub>	3.11
B <sub>2</sub>	3.30	B <sub>2</sub>	3.20	B <sub>2</sub>	3.06	B <sub>2</sub>	3.13
B <sub>3</sub>	3.05	B <sub>3</sub>	3.11	B <sub>3</sub>	3.06	B <sub>3</sub>	3.02
B <sub>4</sub>	3.07	B <sub>4</sub>	3.25	B <sub>4</sub>	3.09	B <sub>4</sub>	3.21
B <sub>5</sub>	3.31	B <sub>5</sub>	3.32	B <sub>5</sub>	3.23	B <sub>5</sub>	3.11
B <sub>6</sub>	3.06	B <sub>6</sub>	3.22	B <sub>6</sub>	3.02	B <sub>6</sub>	3.22
B <sub>7</sub>	3.07	B <sub>7</sub>	3.08	B <sub>7</sub>	3.23	B <sub>7</sub>	3.03
B <sub>8</sub>	3.30	B <sub>8</sub>	2.96	B <sub>8</sub>	3.01	B <sub>8</sub>	3.13
B <sub>9</sub>	3.04	B <sub>9</sub>	3.04	B <sub>9</sub>	3.23	B <sub>9</sub>	3.10
B <sub>10</sub>	3.04	B <sub>10</sub>	3.00	B <sub>10</sub>	3.01	B <sub>10</sub>	3.22
B <sub>11</sub>	3.31	B <sub>11</sub>	3.07	B <sub>11</sub>	3.23	B <sub>11</sub>	3.02
B <sub>12</sub>	3.06	B <sub>12</sub>	3.19	B <sub>12</sub>	3.01	B <sub>12</sub>	3.13

### 3.3. Mechanical properties

The elastic constant is essential for many applications related to the mechanical properties of a solid material, especially for superhard materials. For cubic, tetragonal and orthorhombic phases, there are four ( $C_{11}$ ,  $C_{22}$ ,  $C_{12}$ , and  $C_{44}$ ), six ( $C_{11}$ ,  $C_{33}$ ,  $C_{44}$ ,  $C_{66}$ ,  $C_{12}$ , and  $C_{13}$ ), and nine ( $C_{11}$ ,  $C_{22}$ ,  $C_{33}$ ,  $C_{44}$ ,  $C_{55}$ ,  $C_{66}$ ,  $C_{12}$ ,  $C_{13}$ , and  $C_{23}$ ) independent elastic constants, respectively.<sup>[35]</sup> In terms of the obtained elastic constants, bulk modulus  $B$ , shear modulus  $G$ , Young's modulus  $E$ , and Poisson's ratio  $\nu$  can be evaluated by using the Voigt–Reuss–Hill approximation.<sup>[36]</sup> The Hill values are defined as the arithmetic average of the Reuss and Voigt values

$$B = (B_V + B_R)/2, \quad G = (G_V + G_R)/2, \quad (2)$$

$$E = 9BG/(3B + G), \quad \nu = (3B - 2G)/[2(3B + G)]. \quad (3)$$

Cubic phase:<sup>[37]</sup>

$$B_V = B_R = (C_{11} + 2C_{12})/3,$$

$$G_V = (C_{11} - C_{12} + 3C_{44})/5, \quad (4)$$

$$G_R = 5(C_{11} - C_{12})C_{44}/[4C_{44} + 3(C_{11} - C_{12})]. \quad (5)$$

Tetragonal phase:<sup>[38]</sup>

$$B_V = (1/9)[2(C_{11} + 2C_{12}) + C_{33} + 4C_{13}], \quad (6)$$

$$G_V = (1/30)(M + 3C_{11} - 3C_{12} + 12C_{44} + 6C_{66}), \quad (7)$$

$$B_R = C^2/M, \quad (8)$$

$$G_R = 15\{(18B_V/C^2) + [6/(C_{11} - C_{12})] + (6/C_{44}) + (3/C_{66})\}^{-1}, \quad (9)$$

$$M = C_{11} + C_{12} + 2C_{33} - 4C_{13} \quad (10)$$

$$C^2 = (C_{11} + C_{12})C_{33} - 2C_{13}^2. \quad (11)$$

Orthorhombic phase:<sup>[39]</sup>

$$B_V = (1/9)[C_{11} + C_{22} + C_{33} + 2(C_{12} + C_{13} + C_{23})], \quad (12)$$

$$G_V = (1/15)[C_{11} + C_{22} + C_{33} + 3(C_{44} + C_{55} + C_{66}) - (C_{12} + C_{13} + C_{23})], \quad (13)$$

$$B_R = \Delta[C_{11}(C_{22} + C_{33} - 2C_{23}) + C_{22}(C_{33} - 2C_{13}) - 2C_{33}C_{12} + C_{12}(2C_{23} - C_{12}) + C_{13}(2C_{12} - C_{13}) + C_{23}(2C_{13} - C_{23})]^{-1}, \quad (14)$$

$$G_R = 15\{4[C_{11}(C_{22} + C_{33} + C_{23}) + C_{22}(C_{33} + C_{13}) + C_{33}C_{12} - C_{12}(C_{23} + C_{12}) - C_{13}(C_{12} + C_{13}) - C_{23}(C_{13} + C_{23})]/\Delta + 3[(1/C_{44}) + (1/C_{55}) + (1/C_{66})]\}^{-1}, \quad (15)$$

$$\Delta = C_{13}(C_{12}C_{23} - C_{13}C_{22}) + C_{23}(C_{12}C_{13} - C_{23}C_{11}) + C_{33}(C_{11}C_{22} - C_{12}^2). \quad (16)$$

The calculated elastic constants were listed in Table 4. From Table 4, it is seen that for these phases, all the elastic constants satisfy the mechanical stable conditions.<sup>[40]</sup> Although the elastic constants of  $C_{12}$  in  $BeB_{12}$  is negative, it does not mean an instability because the positive elastic coefficients can be yielded by the actual applied distortions. As we all know, the elastic constants  $C_{11}$  and  $C_{33}$  characterize the  $x$ - and  $z$ -direction resistances to linear compression, respectively. We found that  $C_{11}$  is higher than  $C_{33}$  in both structures of  $BeB_{12}$  and  $CaB_{12}$ , indicating that the  $z$  axis is more compressible than the  $x$  axis. For  $MgB_{12}$ , the  $z$  axis is more incompressible due to the higher  $C_{33}$  than  $C_{11}$ . Moreover,  $G/B$  can be used as mechanical characterization of the compounds. A high (low)  $G/B$  value is associated with brittleness (ductility). The critical value, which separates brittle and ductile materials, is about 0.57. The calculated values of the  $G/B$  are 0.83, 0.86, 0.89, and 0.93 for  $BeB_{12}$ ,  $MgB_{12}$ ,  $CaB_{12}$ , and  $SrB_{12}$  phases, respectively, and higher than 0.57, which means that the predicted materials would behavior in brittle manner.

**Table 4.** Calculated values of elastic constant  $C_{ij}$  (in unit GPa) and bulk modulus  $B$  (GPa), shear modulus  $G$  (GPa), the ratio of  $G$  and  $B$ , Young's modulus  $E$  (GPa), Poisson's ratio  $\nu$ , and the hardness of predicted  $MB_{12}$  ( $M = \text{Be, Mg, Ca, Sr}$ ) structures at 0 GPa.

	$C_{11}$	$C_{12}$	$C_{13}$	$C_{22}$	$C_{23}$	$C_{33}$	$C_{44}$	$C_{55}$	$C_{66}$	$B$	$G$	$E$	$G/B$	$\nu$	$H$
BeB <sub>12</sub>	446	-2	140			293	220		127	194	160	376	0.83	0.18	28
MgB <sub>12</sub>	450	7	54	507	49	462	180	105	104	182	156	364	0.86	0.17	29
CaB <sub>12</sub>	445	9	122			341	209		151	193	173	399	0.89	0.15	33
SrB <sub>12</sub>	468	22					127			171	160	465	0.93	0.14	32

On the other hand, the Poisson's ratio  $\nu$  and Young's modulus  $E$  are very important properties for industrial applications.  $\nu$  provides more information about the characteristics of the bonding forces than any of the other elastic constants. The Poisson's ratio characterizes the stability of the crystal against shearing strain. The low limit and upper limit of  $\nu$  are given 0.25 and 0.5 for central forces solids, respectively. For a typical metal, the value is supposed to be 0.33; for the ionic-covalent crystal, the value is situated between 0.2 and 0.3; the strong covalent has even small Poisson's ratio, which is usually below 0.15. Calculated  $\nu$  values are equal to 0.18, 0.17, 0.15, and 0.14 for BeB<sub>12</sub>, MgB<sub>12</sub>, CaB<sub>12</sub>, and SrB<sub>12</sub> phases, respectively. The calculated Poisson's ratios indicate that the interatomic forces in the  $MB_{12}$  ( $M = \text{Be, Mg, Ca, Sr}$ ) are not metal, and there are strong covalent bonding nature in CaB<sub>12</sub> and SrB<sub>12</sub>, and they are closed to ionic-covalent crystal for BeB<sub>12</sub> and MgB<sub>12</sub>.

On the other hand, the prediction of the hardness of  $MB_{12}$  as a superhard material is of great potential interest. We have investigated this aspect further by applying empirical scheme which correlates the Vickers hardness and the Pugh's modulus ratio ( $k = G/B$ ) through the formula  $H_v = 2(k^2G)^{0.585} - 3$ . According to this equation, the Vickers hardness of BeB<sub>12</sub>, MgB<sub>12</sub>, CaB<sub>12</sub>, and SrB<sub>12</sub> phases derived from the elastic moduli of Table 3 are estimated to be 28, 29, 33, and 32 GPa, respectively. From Table 3, it has been noticed that four compounds have very small difference of bulk and shear moduli, resulting in the small difference of Vickers hardness between of them, which the hardness values are all lower than that of 40(1) GPa of superhard material ZrB<sub>12</sub>.<sup>[18]</sup>

As a fundamental parameter for the materials' thermodynamic properties, the Debye temperature  $\theta_D$  is related to many physical properties such as elastic constants, specific heat and melting temperature. One of the standard methods to calculate the Debye temperature is from the data of elastic constants, and it may be estimated from the averaged sound velocity  $v_m$  by the following equation:

$$\theta_D = \frac{h}{k_B} \sqrt[3]{\frac{3nN_A\rho}{4\pi M}} v_m, \quad (17)$$

where  $h$  is Planck's constant,  $k_B$  is Boltzmann's constant,  $n$  is the number of atoms per formula unit,  $M$  is the molecular weight,  $N_A$  is Avogadro's number,  $\rho$  is the density and  $v_m$  is

the average sound velocity. In fact,  $v_m$  can be obtained from the longitudinal wave velocities  $v_l$  and transverse wave velocities  $v_s$

$$\frac{3}{v_m^3} = \frac{1}{v_l^3} + \frac{2}{v_s^3}, \quad v_l = [(B + 4/3G)/\rho]^{1/2},$$

$$v_s = (G/\rho)^{1/2}, \quad (18)$$

where  $B$  and  $G$  are isothermal bulk modulus and shear modulus, respectively. The calculated Debye temperature, average sound velocities, longitudinal wave velocities, and transverse wave velocities are listed in Table 5.

**Table 5.** Longitudinal ( $v_l$ ), transverse ( $v_s$ ), and average sound velocities ( $v_m$ ), Debye temperature ( $\theta_D$ ), the percentage of anisotropy in compression and shear ( $A_B$  and  $A_G$ ), and universal anisotropic index ( $A^U$ ) of  $MB_{12}$ .

	$v_l$	$v_s$	$v_m$	$\theta_D$	$A_B$	$A_G$	$A^U$
BeB <sub>12</sub>	13386	8394	9243	1387	0	0.086	0.9
MgB <sub>12</sub>	12610	7983	8782	1306	0	0.056	0.6
CaB <sub>12</sub>	12601	8048	8844	1309	0	0.036	0.4
SrB <sub>12</sub>	10765	6943	7621	1116	0	0.037	0.4

For a more comprehensive estimate of  $MB_{12}$  elastic anisotropy, we adopt the anisotropy indexes of bulk modulus and shear modulus ( $A_B$  and  $A_G$ ) proposed by Chung and Buessem<sup>[41]</sup> and the universal elastic anisotropy index  $A^U$  developed by Ostoja-Starzewski<sup>[42]</sup> for crystal with any symmetry. To estimate the anisotropic characteristic of the system, they are

$$A_B = \frac{B_V - B_R}{B_V + B_R}, \quad A_G = \frac{G_V - G_R}{G_V + G_R}, \quad (19)$$

$$A^U = 5\frac{G_V}{G_R} + \frac{B_V}{B_R} - 6 \geq 0, \quad (20)$$

where  $A_B = A_G = 0$  represents the elastic isotropic, and  $A_B = A_G = 1$  represents the maximum elastic anisotropy. Equation of  $A^U$  indicates that the larger deviations from zero imply the higher anisotropic mechanical properties. For isotropy materials  $A^U = 0$ . Table 5 shows the calculated results of four compounds of  $MB_{12}$ . We can see that the anisotropy factor  $A_B$  is zero in four compounds, indicating a complete elastic isotropy in compressibility. However, we noted that the value of  $A_G$  in BeB<sub>12</sub> is higher than other three structures, which indicating the larger anisotropy. For  $A^U$ , it can be easily seen that CaB<sub>12</sub> and SrB<sub>12</sub> have low degree of universal elastic anisotropic behavior due to the smaller  $A^U$ .

## 4. Conclusions

To determine alkali-metal  $MB_{12}$  ( $M = \text{Be, Mg, Ca, and Sr}$ ) compounds with their electronic and mechanical properties, we explored the crystal structures and stabilities by using a global-minimum structure prediction, combined with first-principles calculations. We show that the predicted structures are dynamical stable, and pointed out the possible synthesis route via solid  $M$  and solid alpha-boron of  $MB_{12}$ . From calculated band structure and density of states, the four compounds are all indirect semiconductors. The charge transfer from alkali  $M$  to B are responsible for the structural stability. The elastic constants, bulk, shear, and Young's modulus are also predicted. Debye temperature, hardness, and anisotropic factors are also discussed in detail. All the elastic constants satisfy the mechanical stable conditions. The values of  $G/B$  suggest alkali-metal  $MB_{12}$  materials exhibit as brittleness behavior. The Vickers hardness of four compounds derived from the elastic moduli are estimated to be lower than that of 40 GPa of superhard material  $ZrB_{12}$ . The elastic anisotropy was characterized by several different anisotropic indexes ( $A_B, A_G$ , and  $A^U$ ). Our work provides the basis for further experimental investigations of the alkali-metal  $MB_{12}$ .

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